

218104US0PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

IN RE APPLICATION OF:

Francois DUPONT, et al.

SERIAL NO: New U

New US PCT application based on PCT/FR00/01992

GAU:

FILED:

FOR:

Herewith

EXAMINER:

A NOVEL WATER-RETENTION AGENT WATER-SOLUBLE IN A NEUTRAL OR ALKALINE MEDIUM OF THE VINYL-ACRYLIC COPOLYMER TYPE, APPLICATIONS TO COATING COLORS FOR PAPER AND CARDBOARD,

AND COATING COLORS AND PAPERS AND CARDBOARDS THUS OBTAINED

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

### **RELATED CASES**

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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(OSMMN 10/01)

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10/030988 Rec'd PCT/PTD 16 JAN 2002 SHEET 1 OF 1

| Form PTO 1449                         | U.S. DEPARTMENT OF COMMERCE |                          |               | ATTY DOCKET NO.                          |   | SERIAL NO.                                     |                               |  |
|---------------------------------------|-----------------------------|--------------------------|---------------|--|---|--|-------------------------------|--|
| (Modified)                            |                             | PATENT AND TRAE          |               | 218104US0PCT                             |   | New US PCT application based on PCT/FR00/01992 |                               |  |
|                                       |                             |                          |               | APPLICANT                                |   |  |                               |  |
| LIST OF REFERENCES CITED BY APPLICANT |                             |                          |               | Francois DUPONT, et al.                  |   |  |                               |  |
|                                       |                             |                          |               | FILING DATE                              |   | GROUP  |                               |  |
|                                       |                             |                          |               | Herewith                                 | -20                                     |  |                               |  |
|                                       |                             |                          |               | U.S. PATENT DOCUMENTS                    |   |  |                               |  |
| EXAMINER<br>INITIAL                   |                             | DOCUMENT<br>NUMBER       | DATE          | NAME                                     |   |  | FILING DATE<br>IF APPROPRIATE |  |
|                                       | AA                          | 4 755 420                | 10/04/88      | Olivier GONNET et al.                    |   |  |                               |  |
|                                       | AB                          | 4 780 500                | 10/25/88      | Joseph V. SINKA et al.                   |   |  |                               |  |
|                                       | AC                          | 4 474 919                | 10/02/84      | Elsa S. POLATAJKO-LOBOS et al.           |   |  |                               |  |
|                                       | AD                          |                          |               |  |   |  |                               |  |
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|                                       |                             |                          | FO            | REIGN PATENT DOCUMENTS                   |   |  |                               |  |
|                                       |                             | DOCUMENT<br>NUMBER       | DATE          | COUNTRY                                  | Υ                                       |  | TRANSLATION<br>S NO           |  |
|                                       | AO                          | 0 737 728                | 10/16/96      | EP                                       |   |  | No                            |  |
|                                       | AP                          | 56 101996                | 08/14/81      | JP                                       |   |  | No                            |  |
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|                                       | AU                          |                          |               |  |   |  |                               |  |
|                                       | AV                          |                          | 1             |  |   |  |                               |  |
|                                       |                             | OTHER RE                 | FERENCES (    | Including Author, Title, Date, Pertinen  | t Pages, e                              | tc.)   |                               |  |
|                                       | AW                          |                          |               |  |   |  |                               |  |
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|                                       | AY                          |                          | <u> </u>      |  |   |  |                               |  |
|                                       | AZ                          |                          |               |  | Additional References sheet(s) attached |  |                               |  |
| Examiner                              |                             |                          |               |  | Date Considered                         |  |                               |  |
| *Examiner: In                         | itial if r                  | reference is considered, | whether or no | t citation is in conformance with MPEP 6 | 09; Draw li                             | ne through                                     | citation if not in            |  |